



LIST OF LICENSEES & APPROVED INSTITUTIONS – MAY 11, 2022

APPROVED INSTITUTIONS		
NAME	ADDRESS	CATEGORY
1. The Nairobi Securities Exchange	P.O. Box 43633- 00100, Nairobi	Securities Exchange
2. NSE Derivatives Exchange	P.O. Box 43633- 00100, Nairobi	Derivatives Exchange
3. Central Depository and Settlement Corporation Limited	P.O. Box 3464 - 00100, Nairobi	Central Depository
4. Agosto & Company Limited	P.O. Box 56136, Ikoyi Lagos, Nigeria	Credit Rating Agency
5. Metropol Corporation Limited	P.O. Box 35331 – 00200, Nairobi	Credit Rating Agency
6. Global Credit Rating Company	1st Floor, GFin Tower, 42 Hotel Street, Cyber City, Ebene, 72201, Mauritius	Credit Rating Agency
7. Care Ratings (Africa) Private Limited	1st Floor, MTML Square 63 Cybercity, Ebene	Credit Rating Agency
8. A.M Best Europe – Rating Services Limited (AMBERS)	6th Floor, 12 Arthur Street, London EC 4R 9AB, UK	Credit Rating Agency

DERIVATIVES BROKERS

NAME	ADDRESS
1. AIB-AXYS Africa Limited	P.O. Box 43676-00100, Nairobi
2. EGM Securities Limited (Trading as "FXPesa")	P.O. Box 57876-00200, Nairobi
3. Faida Investment Bank Limited	P.O. Box 45236-00100, Nairobi
4. Genghis Capital Limited	P.O. Box 9959-00100, Nairobi
5. Kingdom Securities Limited	P.O. Box 48231-00100, Nairobi
6. SCFM Limited (Trading as "Scope Markets")	P.O. Box 40719-00200, Nairobi
7. Standard Investment Bank Limited	P.O. Box 13714-00800, Nairobi
8. Sterling Capital Limited	P.O. Box 45080-00100, Nairobi

INVESTMENT BANKS

NAME	ADDRESS	LICENCE NO.
1. African Alliance Kenya Investment Bank Limited	P.O. Box 27639, Nairobi	001
2. ABSA Securities Limited	P.O. Box 30120-00100, Nairobi	002
3. CBA Capital Limited	P.O. Box 30437-00100, Nairobi	003
4. Dyer and Blair Investment Bank Limited	P.O. Box 45396-00100, Nairobi	004
5. Equity Investment Bank Limited	P.O. Box 74454-00200, Nairobi	005
6. Faida Investment Bank Limited	P.O. Box 45236-00100, Nairobi	006

7. Genghis Capital Limited	P.O. Box 9959-00100, Nairobi	007
8. KCB Capital Limited	P.O. Box 48400-00101, Nairobi	008
9. NCBA Investment Bank Limited	P.O. Box 44599-00100, Nairobi	009
10. Renaissance Capital (Kenya) Limited	P.O. Box 40560-00100, Nairobi	010
11. SBG Securities Limited	P.O. Box 47198-00100, Nairobi	011
12. Standard Investment Bank Limited	P.O. Box 13714-00800, Nairobi	012
13. Sterling Capital Limited	P.O. Box 45080-00100, Nairobi	021
14. Dry Associates Limited (Trading as "Dry Associates Investment Bank")	P.O. Box 684-00606, Nairobi	114
15. Salaam Investment Bank Kenya Limited	P.O. Box 14939-00100, Nairobi	115

STOCKBROKERS

1. ABC Capital Limited	P.O. Box 34137-00100, Nairobi	013
2. AIB-AXYS Africa Limited	P.O. Box 43676-00100, Nairobi	141
3. Francis Drummond & Company Limited	P.O. Box 45465-00100, Nairobi	016
4. Kingdom Securities Limited	P.O. Box 48231-00100, Nairobi	018
5. Old Mutual Securities Limited	P.O. Box 50338-00200, Nairobi	020
6. Suntra Investments Limited	P.O. Box 74016-00200, Nairobi	022
7. Securities Africa Kenya Limited	P.O. Box 43633 – 00100, Nairobi	100

8. EFG Hermes Kenya Limited	P.O. Box 349 – 00623, Nairobi	105
9. Kestrel Capital (East Africa) Limited	P.O. Box 40005-00100, Nairobi	136

NON-DEALING ONLINE FOREIGN EXCHANGE BROKER

1. EGM Securities Limited (Trading as "FXPesa")	P.O. Box 57876-00200, Nairobi	107
2. SCFM Limited (Trading as "Scope Markets")	P.O. Box 40719 – 00200 Nairobi	123
3. Pepperstone Markets Kenya Limited	P.O. Box 3085 – 00100 Nairobi	128
4. Exinity East Africa Limited	P.O. Box 50719-00200 Nairobi	135
5. HFM Investments Limited (Trading as Hot Forex)	P.O. Box 30333-00100 Nairobi	155
6. Windsor Markets Kenya Limited	P.O. Box 856 Sarit Centre	156
7. Tadenex Limited	P.O. Box 10643 00100 Nairobi	*

ONLINE FOREIGN EXCHANGE MONEY MANAGER

1. Standard Investment Bank Limited (Trading as "MANSA X")	P.O. Box 13714-00800, Nairobi	116
2. Trade Sense Limited	P.O. Box 1530-00606 Nairobi	*

AUTHORISED SECURITIES DEALERS

1. Standard Chartered (Kenya) Plc	P.O. Box 30003 – 00100, Nairobi	109
2. SBM Bank (Kenya) Limited	P.O. Box 34886-00100, Nairobi	122

FUND MANAGERS

1. Orient Asset Managers Limited	P.O. Box 34530-00100, Nairobi	023
2. Amana Capital Limited	P.O. Box 9480-00100, Nairobi	024
3. Apollo Asset Management Company Limited	P.O. Box 30389, Nairobi	025
4. Britam Asset Managers(Kenya) Limited	P.O. Box 30375-00100, Nairobi	027
5. Metropolitan Cannon Asset Managers Limited	P.O. Box 30216-00100, Nairobi	028
6. Nabo Capital Limited	P.O. Box 10518-00100, Nairobi	029
7. CIC Asset Management Limited	P.O. Box 59485-00200, Nairobi	030
8. Co-op Trust Investment Services Limited	P.O. Box 48231-00100, Nairobi	031
9. FCB Capital Limited	P.O. Box 26219-00100, Nairobi	033
10. Fusion Investment Management Limited	P.O. Box 47538-00100, Nairobi	034
11. GenAfrica Asset Managers Limited	P.O. Box 79217-00200, Nairobi	035
12. ICEA Lion Asset Management Limited	P.O. Box 46143-00100, Nairobi	036

13. Madison Investment Managers Limited	P.O.Box 20092-00100, Nairobi	037
14. Old Mutual Investment Group Limited	P.O.Box 11589-00400, Nairobi	038
15. Sanlam Investments East Africa Limited	P.O.Box 67262-00100, Nairobi	040
16. Standard Chartered Investment Services Limited	P.O.Box 30003-00100, Nairobi	042
17. Zimele Asset Management Company Limited	P.O.Box 76528-00508, Nairobi	045
18. Natbank Trustee and Investment Services Limited	P.O.Box 72866—00200, Nairobi	087
19. Cytonn Asset Managers Limited	P.O.Box 20295-00200, Nairobi	108
20. Atree Capital Kenya Limited	P.O.Box 2607-00200, Nairobi	110
21. Jubilee Financial Services Limited	P.O.Box 30376-00100, Nairobi	119
22. ABSA Asset Management Limited	P.O.Box 30120-00200 Nairobi	129
23. African Diaspora Asset Managers Limited	P.O.Box 1838 City Square	131
24. CFS Asset Management Limited	P.O.Box 62268-00200 Nairobi	134
25. I&M Capital Limited	P.O.Box 30238-00100 Nairobi	145
26. Globetec Asset Managers Limited.	P.O.Box 19018-00100 Nairobi	154
27. African Alliance Kenya Asset Management Limited.	P.O. Box	*
28. CPF Financial Services Limited.	P.O. Box 28938-00200 Nairobi	*
29. Kuza Asset Management Limited	P.O. Box 4611-00100 Nairobi	*

INVESTMENT ADVISERS

1. Bora Capital Limited	P.O. Box 26718-00200, Nairobi	046
2. Deloitte Financial Advisory Limited	P.O. Box 40092-00100, Nairobi	051
3. Lifestyle Management Limited	P.O. Box 1342-00606, Nairobi	054
4. PriceWaterhouseCoopers Associates	P.O. Box 43963-00100, Nairobi	056
5. The Profin Group (K) Limited	P.O. Box 9980-00100, Nairobi	059
6. Liaison Financial Services Limited	P.O. Box 58013—00200 Nairobi	095
7. Waugh McDonald Wealth Management Limited	P.O. Box 1896—00606 Nairobi	103
8. Aylesfield (Kenya) Limited	P.O. Box 1380—00621 Nairobi	104
9. Ace Financial Advisory Limited	P.O. Box 15916-80100 Mombasa	111
10. Synesis Capital Limited	P.O. Box 75282-00200 Nairobi	112
11. AFG Wealth Kenya Limited	P.O. Box 764-00606, Nairobi	124
12. Virtual Capital International Limited	6th Floor, Prosperity House, Westlands Road, Nairobi	125
13. Riscura Solutions (Kenya) Limited	P.O. Box 73248 - 00200 Nairobi	146
14. Private Wealth Capital Limited	P.O. Box 14283 - 00800 Nairobi	147
15. Waanzilishi Capital Limited	P.O. Box 48015—00100 Nairobi	148
16. AKN Investments Limited	P.O. Box 58408-00200 City Square	*

AUTHORIZED DEPOSITORIES

1. African Banking Corporation Limited	P.O. Box 46452-00100, Nairobi	062
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2. Bank of Africa Kenya Limited	P.O. Box 69562-00400, Nairobi	063
3. Absa Kenya Plc	P.O. Box 30120-00100, Nairobi	064
4. Stanbic Bank Kenya Limited	P.O. Box 30550-00100, Nairobi	065
5. Co-operative Bank of Kenya Limited	P.O. Box 48231 -00100, Nairobi	067
6. Equity Bank Limited	P.O. Box 75104-00200, Nairobi	068
7. I & M Bank Limited	P.O. Box 30238-00100, Nairobi	069
8. Kenya Commercial Bank Limited	P.O. Box 30664-00100, Nairobi	070
9. National Bank of Kenya Limited	P.O. Box 72866-00200, Nairobi	071
10. Prime Bank Limited	P.O. Box 43825-00100, Nairobi	073
11. Standard Chartered Bank (Kenya) Plc	P.O. Box 30003-00100, Nairobi	074
12. Transnational Bank Limited	P.O. Box 34353-00100, Nairobi	075
13. HFC Limited	P.O. Box 30088-00100, Nairobi	113
14. Gulf African Bank Limited	P.O. Box 43683-00100, Nairobi	117
15. Credit Bank Limited	P.O. Box 61064-00200, Nairobi	120
16. SBM Bank (Kenya) Limited	P.O. Box 34886-00100, Nairobi	121
17. NCBA Bank Kenya Plc	P.O. Box 44599-00100, Nairobi	126
18. Family Bank Limited	P.O. Box 74145-00200, Nairobi	144
19. Ecobank Kenya Limited	P.O. Box 49584-00100, Nairobi	149
20. Sidian Bank Limited	P.O. Box 25363-00603, Nairobi	150

REIT MANAGERS

1. Nabo Capital Limited	P.O. Box 10518-00100, Nairobi	076
2. CIC Asset Management Limited	P.O. Box 59485 - 00200, Nairobi	080
3. Fusion Investment Management Limited	P.O. Box 47538 - 00100, Nairobi	081
4. Stanlib Kenya Limited	P.O. Box 30550 - 00100, Nairobi	082
5. ICEA Lion Asset Management Limited	P.O. Box 46143 - 00100 Nairobi	092
6. Sterling REIT Asset Management Limited	P.O. Box 45080 - 00100 Nairobi	097
7. H.F. Development and Investment Limited	P.O. Box 30088 - 00100	098
8. Britam Asset Managers Limited	P.O. Box 30375 - 00100, Nairobi	106
9. Cytonn Asset Managers Limited	P.O. Box 20295-00200, Nairobi	118
10. Acorn Investment Management Limited	P.O. Box 13759 GPO Nairobi	133

COFFEE BROKERS

1. Meru County Coffee Marketing Agency Limited	P.O. Box 2307-60200, Meru	151
2. Kipkelion Brokerage Company Limited	P.O. Box 8-20209, Fort Tennan	152
3. Muran'ga County Coffee Dealers Company Limited	P.O. Box 14-10200, Muran'ga	153
4. United Eastern Kenya Coffee Marketing Agency	P.O. Box 83-90100 Machakos	158
5. Mt.Elgon Coffee Marketing Agency	P.O. Box 69-50202 Cheptais	157
6. Embu Coffee Farmers Marketing Agency Limited	P.O. Box 21-60100 Embu	*

REIT TRUSTEES

1. Housing Finance Company (K) Limited	P.O. Box 30088-00100, Nairobi	88
3. Co-operative Bank of Kenya Limited	P.O. Box 48231-00100, Nairobi	089
3. Kenya Commercial Bank Limited	P.O. Box 30664 -00100, Nairobi	090

AUTHORISED REAL ESTATE INVESTMENT TRUSTS (REITS)

1. Acorn Development Real Estate Investment Trust (Acorn D-REIT)	Acorn House, 97 James Gichuru Rd, Lavington, Nairobi	137
2. Acorn Income Real Estate Investment Trust (Acorn I-REIT)	Acorn House, 97 James Gichuru Rd, Lavington, Nairobi	138
4. ILAM Fahari I-REIT	P.O. Box 46143 - 00100	139

APPROVED COLLECTIVE INVESTMENT SCHEMES APPROVED COLLECTIVE INVESTMENT SCHEMES

<p>1. African Alliance Kenya Unit Trust Scheme – comprising of:</p> <ul style="list-style-type: none"> i. African Alliance Kenya Money Market Fund (Formerly African Alliance Kenya Shilling Fund); ii. African Alliance Kenya Fixed Income Fund; iii. African Alliance Kenya Managed Fund; iv. African Alliance Kenya Equity Fund; and v. African Alliance Kenya Enhanced Yield Fund.
<p>2. British-American Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. British-American Money Market Fund; ii. British-American Income Fund; iii. British-American Balanced Fund; iv. British-American Managed Retirement Fund; and v. British-American Equity Fund.
<p>3. NCBA Unit Trust Funds, comprising of:</p> <ul style="list-style-type: none"> i. NCBA Fixed Income Fund; ii. NCBA Equity Fund;

<ul style="list-style-type: none"> iii. NCBA Dollar Fixed Income Fund; iv. NCBA Fixed Income Basket Note (KES Fund); and v. NCBA Fixed Income Basket Note (USD) Fund.
<p>4. Zimele Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Zimele Balanced Fund; ii. Zimele Money Market Fund; and iii. Zimele Fixed Income Fund.
<p>5. ICEA Unit Trust Scheme comprising of;</p> <ul style="list-style-type: none"> i. ICEA Money Market Fund; ii. ICEA Equity Fund; iii. ICEA Growth Fund; and iv. ICEA Bond Fund. <p>ICEA LION Retail Funds comprising of:</p> <ul style="list-style-type: none"> i. ICEA LION Savings Fund; ii. ICEA LION Income Fund; iii. ICEA LION Capital Growth Fund; and iv. ICEA LION Hybrid Fund.
<p>6. Standard Investment Trust Funds, comprising of:</p> <ul style="list-style-type: none"> i. Standard Investment Equity Growth Fund; ii. Standard Investment Fixed Income Fund; and iii. Standard Investment Balanced Fund.
<p>7. CIC Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. CIC Money Market Fund; ii. CIC Balanced Fund; iii. CIC Fixed Income Fund; iv. CIC Equity Fund; v. CIC Wealth Fund; and vi. CIC Dollar Fund.
<p>8. Madison Unit Trust Fund , comprising of:</p> <ul style="list-style-type: none"> i. Madison Asset Equity Fund;
<p>9. Dyer and Blair Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Dyer and Blair Diversified Fund; ii. Dyer and Blair Bond Fund; iii. Dyer and Blair Money Market Fund; and iv. Dyer and Blair Equity Fund.
<p>10. Amana Unit Trust Funds Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Amana Money Market Fund ii. Amana Balanced Fund iii. Amana Growth Fund
<p>11. Diaspora Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Diaspora Money Market Fund

<ul style="list-style-type: none"> ii. Diaspora Bond Fund iii. Diaspora Equity Fund
12. First Ethical Opportunities Fund
<p>13. Genghis Unit Trust Funds, comprising of:</p> <ul style="list-style-type: none"> i. GenCap Hazina Fund (Bond Fund); ii. GenCap Eneza Fund (Diversified Fund); iii. GenCap Hela Fund (Money Market Fund); iv. GenCap Iman Fund (Shariah Compliant Fund); v. GenCap Hisa Fund (Equity Fund); vi. GenCap Hela Imara Fund (Money Market Fund); vii. Gencap Eneza Imara Fund; viii. Gencap Hisa Imara Fund; ix. Gencap Iman Imara Fund; and x. Gencap Fixed Income Fund <p>Genghis Capital Unit Trust Fund, comprising of:</p> <ul style="list-style-type: none"> i. Gencap Mali Fund
<p>14. Genghis Specialized Funds, comprising of:</p> <ul style="list-style-type: none"> i. Gencap NSE25 Index Tracker; and ii. Gencap Financial Services Fund.
<p>15. Sanlam Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Sanlam Money Market Fund (Sanlam Pesa Plus Fund); ii. Sanlam Dividend Plus Fund (Sanlam Faida Plus Fund); and iii. Sanlam Balanced Fund (Sanlam Chama Plus Fund).
<p>16. Nabo Africa Funds, comprising of:</p> <ul style="list-style-type: none"> i. Nabo Africa Money Market Fund; ii. Nabo Africa Balanced Fund; iii. Nabo Africa Fixed Income Fund; iv. Nabo Africa Equity Fund; v. Nabo Capital Money Market Fund (USD); and vi. Nabo Income Fund.
<p>17. Old Mutual Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Old Mutual Equity Fund; ii. Old Mutual Money Market Fund; iii. Old Mutual Balanced Fund; iv. Old Mutual East Africa Fund; and v. Old Mutual Bond Fund.
<p>18. Equity Investment Bank Collective Investment Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Equity Investment Bank Money Market Fund; and ii. Equity Investment Bank Balanced Fund.

<p>19. Dry Associates Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Dry Associates Money Market Fund (Kenya Shillings); ii. Dry Associates Money Market Fund (US Dollars); and iii. Dry Associates Balanced Fund (Kenya Shillings).
<p>20. Co-op Trust Fund, comprising of:</p> <ul style="list-style-type: none"> i. Co-op Balanced Fund; ii. Co-op Equity Fund; iii. Co-op Bond Fund; iv. Co-op Money Market Fund; and v. Co-op Fixed Income Gratuity Fund.
<p>21. Apollo Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Apollo Money Market Fund; ii. Apollo Balanced Fund; iii. Apollo Aggressive Growth Fund; iv. Apollo Equity Fund; v. Apollo East Africa Fund; and vi. Apollo Bond Fund.
<p>22. Cytonn Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Cytonn Money Market Fund; ii. Cytonn Balanced Fund; iii. Cytonn Equity Fund; iv. Cytonn Africa Financial Services Fund; v. Cytonn Money Market Fund (USD); and vi. Cytonn High Yield Fund.
<p>23. Alphafrica Umbrella Fund, comprising of:</p> <ul style="list-style-type: none"> i. Alphafrica Hifadhi Fixed Income Fund; and ii. Alphafrica Kasha Money Market Fund.
<p>24. Wanafunzi Investment Unit Trust Fund</p>
<p>25. Absa Unit Trust Funds, comprising of:</p> <ul style="list-style-type: none"> i. Absa Balanced Fund; ii. Absa Bond Fund; iii. Absa Dollar Fund; iv. Absa Equity Fund; and v. Absa Shilling Fund.
<p>26. Jaza Unit Trust Fund, comprising of:</p> <ul style="list-style-type: none"> i. Boda Boda Investment Scheme Sub-Fund
<p>27. Masaru Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Masaru Wealth Management Fund; and ii. Masaru Money Market Fund.

<p>28. ADAM Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. ADAM Balanced Fund; ii. ADAM Equities Fund; iii. ADAM Fixed Income Fund; and iv. ADAM Money Market Fund.
<p>29. Natbank Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. Natbank Money Market Fund; ii. Natbank Fixed Income Fund; iii. Natbank Balanced Fund; iv. Natbank Equity Fund; and v. Natbank Money Market Fund (USD)
<p>30. GenAfrica Unit Trust Scheme, comprising of:</p> <ul style="list-style-type: none"> i. GenAfrica Money Market Fund; and ii. GenAfrica Equity Fund.

APPROVED EMPLOYEE SHARE OWNERSHIP PLANS (ESOPS)

1. ARM Employee Share Ownership Plan
2. EABL Employee Share Ownership Plan
3. Equity Employee Share Ownership Scheme
4. Housing Finance Employee Share Ownership Plan
5. I&M Bank Employee Share Ownership Plan
6. KCB Employee Share Option Plan
7. Kenya Airways Employee Share Ownership Plan
8. Kenya Airways 2017 Group Employee Share Ownership Scheme
9. Safaricom Employee Share Ownership Plan
10. Scangroup Employee Share Ownership Plan
11. Car & General Employee Share Ownership Plan
12. Standard Group Limited Employee Share Ownership Plan
13. Britam Holdings Plc Employee Share Ownership Plan
14. Nairobi Securities Exchange Plc Employee Share Ownership Plan – Unit Trust